

REGION 19 915 2nd Ave Ste 2948 Seattle, WA 98174-1006

Agency Website: www.nlrb.gov Telephone: (206)220-6300 Fax: (206)220-6305

March 8, 2018

roy.chandler@utas.utc.com

ROY CHANDLER, DIRECTOR –

MANUFACTURING OPERATIONS

UTC AEROSPACE SYSTEMS - LANDING GEAR
2701 94TH ST SW

EVERETT, WA 98204-2128

(206)764-0303
jessec@iam751.org

JESSE NATHAN COTE, DIRECTOR
OF ORGANIZING
AEROSPACE INDUSTRIAL DISTRICT
LODGE 751 OF THE INTERNATIONAL
ASSOCIATION OF MACHINISTS AND
AEROSPACE WORKERS
9125 15TH PL S
SEATTLE, WA 98108-5190

Re: Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems Case 19-RC-215734

#### Dear Parties:

Enclosed is a copy of the election agreement that I have approved in this case. This letter will provide you with additional information about the voter list, posting the election notices, and the agreed-upon election arrangements.

#### **Voter List**

The employer must provide the regional director and parties an alphabetized list of the full names, work locations, shifts, job classifications, and contact information (including home addresses, available personal email addresses, and available home and personal cell telephone numbers) of all eligible voters, **accompanied by a certificate of service** on all parties.

To be timely filed and served, the list must be *received* by the regional director and the parties by *Monday, March 12, 2018*. The region will no longer serve the voter list. The employer's failure to file or serve the list within the specified time or in the proper format is grounds for setting aside the election whenever proper and timely objections are filed. However,

the employer may not object to the failure to file or serve the list in the specified time or in the proper format if it is responsible for the failure.

The list must be provided in a table in a Microsoft Word file (.doc or docx) or a file that is compatible with Microsoft Word (.doc or docx). The first column of the list must begin with each employee's last name and the list must be alphabetized (overall or by department) by last name. Because the list will be used during the election, the font size of the list must be the equivalent of Times New Roman 10 or larger. That font does not need to be used but the font must be that size or larger. A sample, optional form for the list is provided on the NLRB website at www.nlrb.gov/what-we-do/conduct-elections/representation-case-rules-effective-april-14-2015.

When feasible, the employer must electronically file the list with the regional director and electronically serve the list on the other parties. Electronic filing of the list with the NLRB through the Agency website is preferred but not required. To file electronically, go to **www.nlrb.gov**, click on **E-File Documents**, enter the **NLRB case number**, and follow the detailed instructions. The list also may be submitted to our office by email or fax to (206)220-6305. The burden of establishing the timely filing and receipt of the list is on the sending party.

#### **Posting of Election Notices**

Notices of Election will be electronically transmitted to the parties, if feasible, or by overnight mail if not feasible. Section 102.67(k) of the Board's Rules and Regulations requires the Employer to timely post copies of the Board's official Notice of Election in conspicuous places, including all places where notices to employees in the unit are customarily posted. You must also distribute the Notice of Election electronically to any employees in the unit with whom you customarily communicate electronically. In this case, the notices must be posted and distributed **before 12:01 a.m. on Tuesday, March 20, 2018.** If the Employer does not receive copies of the notice by Friday, March 16, 2018, it should notify the Regional Office immediately. Pursuant to Section 102.67(k), a failure to post or distribute the notice precludes an employer from filing objections based on nonposting of the election notice.

To make it administratively possible to have election notices and ballots in a language other than English, please notify the Board agent immediately if that is necessary for this election. Also, as noted in paragraph 10 of the stipulated election agreement, if special accommodations are required for any voters, potential voters, or election participants to vote or reach the voting area, please tell the Board agent as soon as possible.

## **Election Arrangements**

The arrangements for the election in this matter are as follows:

**Date of Election:** Friday, March 23, 2018

**Time:** 12:30 p.m. to 2:00 p.m.

**Place:** Second Floor Conference Room at the Employer's facility located at

2701 94th St SW, Everett, WA

Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems Case 19-RC-215734

Election Observers: Each party may have one observer for each polling session. The observers may be present at the polling place during the balloting and to assist the Board agent in counting the ballots after the polls have been closed. Please complete the enclosed Designation of Observer form and return it to this office as soon as possible.

**Preelection Conference:** A preelection conference for all parties will be held on Friday, March 23, 2018 at 12 noon, the Second Floor Conference Room located at the Employer's facility, 2701 94th St SW, Everett, Washington. The parties are requested to have their election observers present at this conference so that the observers may receive instruction from the Board Agent about their duties.

**Election Equipment:** The Board agent conducting the election will furnish the ballot box, ballots, and voting booths. The Employer is requested to provide, at the polling place, a table and a sufficient number of chairs for use by the Board agent and observers during the election.

Enclosed is a Description of Election and Post-Election Procedures in Representation Cases, Form NLRB-5547, which describes the election and the method for handling challenges as well as post-election proceedings to deal with determinative challenges and any objections that are filed.

If you have any questions, please feel free to contact Field Examiner SARA C. DUNN at telephone number (206)220-6318 or by email at sara.dunn@nlrb.gov. The cooperation of all parties is sincerely appreciated.

Very truly yours,

RONALD K. HOOKS Regional Director

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#### **Enclosures**

- 1. Approved Election Agreement
- 2. Designation of Observer Form
- 3. Description of Procedures in Election and Post-Election Representation Case Procedures (Form 5547)

Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems Case 19-RC-215734

cc: skalis@littler.com (612)630-9626

SARA B. KALIS, ESQ.

LITTLER MENDELSON, P.C.

80 S 8TH ST STE 1300

MINNEAPOLIS, MN 55402--2136

jharrison@littler.com (612)630-9626 JEFFREY T. HARRISON, ATTORNEY LITTLER MENDELSON, P.C. 80 S 8TH ST STE 1300 MINNEAPOLIS, MN 55402--2136

spencert@iam751.org (206)764-0303 SPENCER NATHAN THAL, STAFF ATTORNEY AEROSPACE MACHINIST INDUSTRIAL DISTRICT LODGE 751, IAM & AW 9125 15TH PL S SEATTLE, WA 98108--5190

## **DESIGNATION OF OBSERVER(S)**

Re: Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems Case 19-RC-215734

<u>Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems</u> hereby designates the individual listed below to act as its observer during the election in the above case.

Observer's Name	Observer's Job Title
1.	

I certify that each of the above-named individuals is an employee of the Employer and is not a supervisor within the meaning of Section 2(11) of the Act.

Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems	
(Name of Party)	
By:	
(Signature)	
(Representative Name: Print or Type)	
(Representative Title)	
(Date)	

**Note**: Board law prohibits any statutory supervisor from serving as an election observer. Section 2(11) of the National Labor Relations Act states: "The term 'supervisor' means any individual having authority, in the interest of the employer, to hire, transfer, suspend, lay off, recall, promote, discharge, assign, reward, or discipline other employees, or responsibly to direct them, or to adjust their grievances, or effectively to recommend such action, if in connection with the foregoing the exercise of such authority is not of a merely routine or clerical nature, but requires the use of independent judgment."

## **DESIGNATION OF OBSERVER(S)**

Re: Menasco Aerosystems Inc., d/b/a UTC Aerospace Systems Case 19-RC-215734

<u>PETITIONER</u> Aerospace Industrial District Lodge 751 of the International Association of Machinists and Aerospace Workers hereby designates the individual listed below to act as its observer during the election in the above case.

Observer's Name	Observer's Job Title
1.	

I certify that each of the above-named individuals is an employee of the Employer and is not a supervisor within the meaning of Section 2(11) of the Act.

Aerospace Industrial District Lodge 751 of the International	
Association of Machinists and Aerospace Workers	
(Name of Party)	
`	
By:	
(Signature)	
(Representative Name: Print or Type)	
<b>\ 1</b>	
(Representative Title)	
(Date)	

**Note**: Board law prohibits any statutory supervisor from serving as an election observer. Section 2(11) of the National Labor Relations Act states: "The term 'supervisor' means any individual having authority, in the interest of the employer, to hire, transfer, suspend, lay off, recall, promote, discharge, assign, reward, or discipline other employees, or responsibly to direct them, or to adjust their grievances, or effectively to recommend such action, if in connection with the foregoing the exercise of such authority is not of a merely routine or clerical nature, but requires the use of independent judgment."

## UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD

# DESCRIPTION OF ELECTION AND POST-ELECTION REPRESENTATION CASE PROCEDURES

Election – A secret ballot election will be conducted by an agent of the National Labor Relations Board on the date and at the time(s) and location(s) specified in the Notice of Election. Unless the election is conducted by mail ballot, each party is usually represented by an equal number of observers at the polls during the election. When a voter appears to vote, the voter is asked to state his or her name and is given a ballot to take to a voting booth and mark in secret. The voter folds the marked ballot and then drops it into a ballot box without showing the marking to anyone. Parties or the Board agent may challenge for good cause the eligibility of a voter to participate in the election. A challenged voter will place his or her ballot in a special envelope before placing it in the ballot box. After the time for voting has concluded but before counting the ballots, the Board agent will see if the parties can agree to resolve some or all of the challenges. The Board agent will then count the ballots and prepare a Tally of Ballots and make that Tally available to the parties. If the unresolved challenged ballots will not determine the outcome of the election, the challenged ballots are never opened and no determination is made on the voters' eligibility. If the remaining challenged ballots are determinative of the results of the election, those challenged ballots will be sealed in a special envelope in front of the parties and stored in a safe in an NLRB office.

Challenged Ballots —If the challenged ballots are determinative, the regional director will send a letter to the parties after the election, listing the challenged voters and asking the parties to submit a statement of position with respect to the challenge to the ballot of each voter listed above detailing why each of the challenged individuals is or is not eligible to vote. The regional director will then determine whether it is necessary to conduct an investigation or schedule a hearing to resolve the determinative challenges.

**Objections** – Within 7 days after the Tally of Ballots has been prepared, any party may file objections to the conduct of the election or to conduct affecting the results of the election. The objections must be submitted within this time frame, regardless of whether the challenged ballots are sufficient in number to affect the results of the election. The objections must contain a short statement of the reasons for the objections and be accompanied by a written offer of proof identifying each witness the party would call to testify concerning the issue and summarizing the witness's testimony. Upon a showing of good cause, the regional director may extend the time for filing the offer of proof. The party filing the objections will serve a copy of the objections, but not the written offer of proof, on each of the other parties to the case, and include a certificate of service with the objections. The objections may be E-Filed through the Agency's E-Filing system. Objections may also be submitted by facsimile transmission, but the filer must also file an original for the Agency's records.

**Certification in the Absence of Objections, Determinative Challenges, and Runoff Elections** -- If no timely objections are filed, no runoff election is required to be held, and the challenged ballots are insufficient in number to affect the results of the election, the regional director will issue a certification of the results of the election, including certification of representative where appropriate.

Regional Office Investigation and Decisions without a Hearing -- A Board agent may be assigned to conduct an administrative investigation of determinative challenges and objections. If the regional director determines that the evidence described in the offer of proof would not constitute grounds for setting aside the election if introduced at a hearing, and the regional director determines that any determinative challenges do not raise substantial and material factual issues, the regional director will issue a decision disposing of the objections and determinative challenges, and a certification of the results of the election, including certification of representative where appropriate.

**Notices of Hearing on Challenges and/or Objections** -- The regional director will schedule the hearing on challenges and/or objections 21 days after the preparation of the tally of ballots or as soon as practicable thereafter, unless the parties agree to an earlier date. In some cases, the regional director may consolidate the hearing concerning

objections and challenges with an unfair labor practice proceeding before an administrative law judge. In any proceeding involving a consent election where the representation case has been consolidated with an unfair labor practice proceeding for hearing, the administrative law judge will, after issuing a decision, sever the representation case and transfer it to the regional director for further processing. If there was no consent election, the administrative law judge's recommendations on objections and/or challenges that have been consolidated with an unfair labor practice proceeding will be ruled upon by the Board if exceptions are filed or adopted in the absence of exceptions.

**Voluntary Resolution** -- An objecting party may wish to withdraw its objections. The withdrawal may be oral or written. When objections are withdrawn, the regional director may issue the appropriate certification. If the parties agree to set aside the election and conduct a new one, the Board agent will prepare a written agreement for their signature and approval by the regional director. Agreement of the objecting party is not required.

Hearing on Challenges and/or Objections -- The hearing will continue from day to day until completed unless the regional director concludes that extraordinary circumstances warrant otherwise. Any party will have the right to appear at the hearing in person, by counsel, or by other representative, to call, examine, and cross-examine witnesses, and to introduce into the record evidence of the significant facts that support the party's contentions and are relevant to the objections and determinative challenges that are the subject of the hearing. Post-hearing briefs may be filed only upon special permission of the hearing officer and within the time and addressing the subjects permitted by the hearing officer.

Hearing Officer's Report and Exceptions -- After the hearing, the hearing officer will prepare and serve on the parties a report resolving questions of credibility and containing findings of fact and recommendations as to the disposition of the post-election issues. Within 14 days from the issuance of that report, any party may file with the regional director exceptions to that report and a supporting brief if desired. A copy of the exceptions and any supporting brief must immediately be served on the other parties and a statement of service filed with the regional director. Within 7 days from the last date on which exceptions and any supporting brief may be filed, or such further time as the regional director may allow, a party opposing the exceptions may file an answering brief. A copy of the answering brief must immediately be served on the other parties and a statement of service filed with the regional director. Thereafter, the regional director will decide the matter or make other disposition of the case. If no exceptions to the hearing officer's report are filed, the regional director may decide the matter upon the record or make other disposition of the case. The decision of the regional director will be final unless a request for review is granted by the Board.

Briefs in support of exceptions and answering briefs may not exceed 50 pages, excluding the subject index and table of cases and authorities, unless permission is obtained from the regional director by motion, setting forth the reasons for exceeding the limit, filed not less than 5 days (including Saturdays, Sundays, and holidays) before the date the brief is due. If a brief filed exceeds 20 pages, it must contain a subject index with page references and an alphabetical table of cases and authorities. All documents filed with the regional director must be double spaced and on 8 ½ by 11-inch paper, and be printed or otherwise legibly duplicated.

Request For Review by the Board – In stipulated and directed election cases, any party may request Board review of the regional director's post-election decision. The request for review must be filed with the Board within 14 days of the director's post-election decision and must be served on the regional director and the other parties. This may be combined with a request for review of the regional director's decision to direct an election. A statement of service must also be filed with the Board. Any party opposing the request for review may file a statement in opposition within 7 days after the last day for which the request for review must be filed. If the Board grants the request for review, the parties have 14 days from the order granting review to file briefs with the Board. A party seeking review must identify a significant, prejudicial error or some other compelling reason for Board review.